

**Chapter III.2****Targeted Antigen Delivery to DEC-205<sup>+</sup> Dendritic Cells  
for Tolerogenic Vaccination**Cathleen Petzold<sup>1</sup>, Sonja Schallenberg<sup>1</sup>, Joel N.H. Stern<sup>2</sup>,  
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**■ Abstract**

Dendritic cells (DCs) and Foxp3-expressing CD4<sup>+</sup> regulatory T (Treg) cells play non-redundant roles in the maintenance of peripheral tolerance to self-antigens, thereby preventing fatal autoimmunity. A common hallmark of intra- and extra-thymic Treg cell lineage commitment is the induction of Foxp3 expression as a consequence of appropriate T cell receptor engagement with MHC class II:agonist ligand. It has now become increasingly clear that agonist ligand presentation by immature DCs in the steady state induces T cell tol-

erance by both recessive and dominant mechanisms, rather than promoting productive T helper cell responses. In this context, the ability of steady-state DCs to promote the extra-thymic conversion of initially naïve CD4<sup>+</sup>Foxp3<sup>+</sup> T cells into Foxp3<sup>+</sup> Treg cells is of particular interest as it provides novel perspectives to enhance antigen-specific Treg cell function in clinical settings of unwanted immunity, such as  $\beta$ -cell autoimmunity.

**Keywords:** type 1 diabetes · immune tolerance · dendritic cell · regulatory T cell · DEC-205 · Foxp3**1. Introduction**

 CD4<sup>+</sup>CD25<sup>+</sup> regulatory T (Treg) cells expressing the forkhead box transcription factor Foxp3 have been implicated in both the breakdown of self-tolerance and the restoration of immune homeostasis in type diabetes (T1D). As an example, T1D represents a major component of the IPEX (immune dysfunction, polyendocrinopathy, enteropathy, X-linked) syndrome [1-3] that affects patients with abrogated Treg cell function due to mutations in the FOXP3 gene [4-6]. Studies have been performed in adult non-obese diabetes (NOD) mice with transgenic expression of the  $\beta$ -cell-reactive BDC2.5 T cell receptor (TCR) on CD4<sup>+</sup> T cells and the human diphtheria toxin receptor (DTR) selectively in Foxp3<sup>+</sup> Treg cells. These studies provided a striking example of the ability of

Foxp3<sup>+</sup> Treg cells to restrain destructive  $\beta$ -cell autoimmunity. While it is well known that the BDC2.5 TCR efficiently prevents the development of spontaneous autoimmune diabetes [7], acute Foxp3<sup>+</sup> Treg cell ablation triggers autoimmune  $\beta$ -cell destruction within 8 days after diphtheria toxin administration, in both female and male NOD.BDC2.5  $\times$  Foxp3<sup>DTR</sup> mice [8].

In contrast, Foxp3<sup>+</sup> Treg cells have attracted considerable attention as promising gain-of-function targets to achieve tolerance in clinical settings of unwanted immunity (such as  $\beta$ -cell autoimmunity), without compromising protective immune responses to malignant and infectious insults. The ability of  $\beta$ -cell-reactive Foxp3<sup>+</sup> Treg cells to prevent or even reverse spontaneously developing diabetes in NOD mice has been demonstrated in early studies, employing *in vivo* admini-

stration of naturally occurring BDC2.5<sup>+</sup> Treg cells that had been expanded *ex vivo* [9, 10], and BDC2.5<sup>+</sup> Treg cells that had been artificially generated *in vitro* either by ectopic expression of Foxp3 [11] or transforming growth factor  $\beta$  (TGF- $\beta$ )-mediated induction of Foxp3 expression [12]. Conceptually, the *in vivo* application of *in vitro* expanded Treg cells is inevitably limited to antigen (Ag) specificities preformed *in vivo*. In contrast, the conversion of initially naïve CD4<sup>+</sup>Foxp3<sup>+</sup> T cells offers the possibility of generating Foxp3<sup>+</sup> Treg cells with any desired Ag specificity. Here, we provide an overview on accumulating evidence that the extrathymic conversion of initially non-regulatory CD4<sup>+</sup>Foxp3<sup>+</sup> T cells into Foxp3<sup>+</sup> Treg cells, by targeted delivery of self-Ag to tissue-residing DEC-205<sup>+</sup> dendritic cells (DCs), may represent a suitable approach to deal with organ-specific autoimmunity.

## 2. Dendritic cells in immune tolerance

In mice, the following main DC subsets can be distinguished based on their migratory behavior and differential expression of surface markers:

1. The population of lymphoid-resident DCs, which samples local Ags for presentation to neighboring T cells in spleen and lymph nodes, including (i) conventional CD11c<sup>+</sup> DCs, which can be further subdivided into CD8<sup>+</sup>CD11b<sup>low</sup> and CD8<sup>+</sup>CD11b<sup>high</sup> DCs, and (ii) CD11c<sup>low</sup>CD11b<sup>B220</sup><sup>high</sup> plasmacytoid DCs.
2. Migratory DCs, which include epidermal CD11c<sup>low</sup>CD8<sup>+</sup>CD11b<sup>high</sup> Langerhans cells (LCs) as well as dermal CD103<sup>+</sup> DCs and CD11b<sup>+</sup> DCs, travel from peripheral tissues to transport tissue Ags to draining lymph nodes.

Additionally, these DC subsets differentially express several lectin surface receptors. While the expression of DCIR2 and Siglec-H appears largely exclusive to conventional CD11c<sup>+</sup>CD8<sup>+</sup> DCs and plasmacytoid DCs, respectively, DEC-205 and Langerin are co-expressed on conventional CD11c<sup>+</sup>CD8<sup>+</sup> DCs, LCs, and migratory DCs.

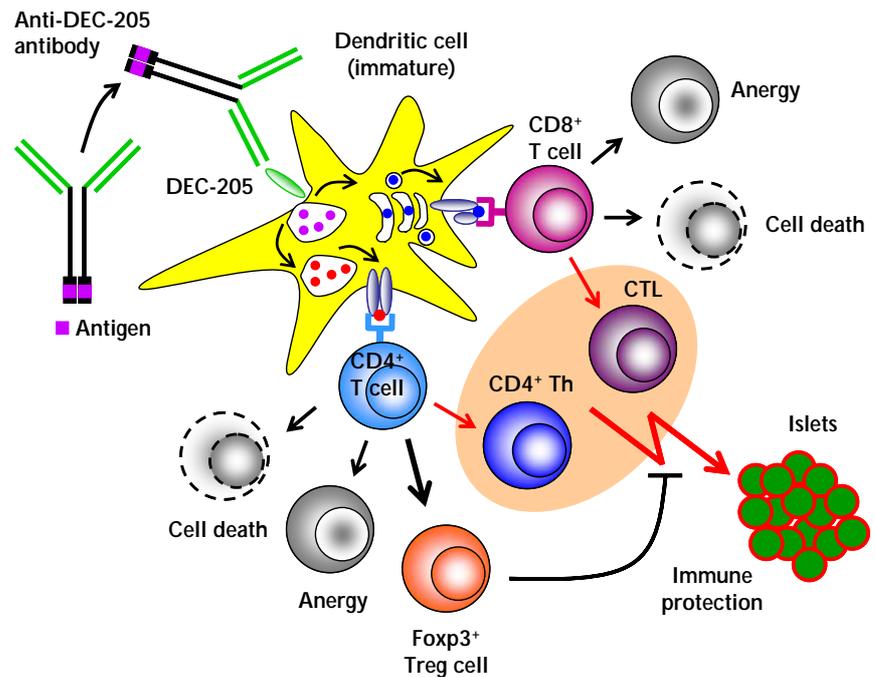
Currently, it appears to be generally accepted that DCs in peripheral lymphoid tissues control the induction of adaptive immune responses against pathogens, and play an indispensable role in maintaining self-tolerance. This notion is

### Abbreviations:

Ag - antigen  
 BDCA - blood dendritic cell antigen  
 BST2 - bone marrow stromal cell Ag 2 (CD317)  
 C57BL/6 - inbred strain C57 black 6  
 CIRE - C-type lectin immune receptor  
 Clec9A - C-type lectin domain family 9, member A  
 CpG - cytosine-phosphate-guanosine  
 DC - dendritic cell  
 DCIR2 - DC-inhibitory receptor-2  
 DC-SIGN - DC-specific ICAM-3-grabbing non-integrin  
 DEC-205 - dendritic and epithelial cells, 205 kDa (CD205)  
 Dectin-1 - DC-associated C-type lectin-1  
 DNA - deoxyribonucleic acid  
 DTR - diphtheria toxin receptor  
 EAE - experimental autoimmune encephalomyelitis  
 Fab - fragment antigen-binding  
 Fc - fragment, crystallizable  
 Foxp3 - forkhead box P3  
 Gag - group-specific antigen  
 GFP - green fluorescent protein  
 HA - hemagglutinin  
 hDEC-205 - human DEC-205  
 HEL - hen egg lysozyme  
 HIV - human immunodeficiency virus  
 IFN- $\gamma$  - interferon gamma  
 Ig - immunoglobulin  
 IL - interleukin  
 i.m. - intramuscular  
 i.n. - intranasal  
 i.p. - intraperitoneal  
 IPEX - immune dysfunction, polyendocrinopathy, enteropathy, X-linked  
 i.v. - intravenous  
 KLH - keyhole limpet hemocyanin  
 LC - Langerhans cell  
 LPS - lipopolysaccharide  
 mAb - monoclonal antibody  
 MHC - major histocompatibility complex  
 MOG - myelin oligodendrocyte glycoprotein  
 mRNA - messenger ribonucleic acid  
 NLDC - non-lymphoid dendritic cell  
 NOD - non-obese diabetic  
 NY-ESO-1 - New York esophageal squamous cell carcinoma 1  
 OT-I - ovalbumin-specific T cell, class I MHC-restricted  
 OVA - ovalbumin  
 pDC - plasmacytoid DC  
 PLP - proteolipid protein  
 poly(I:C) - polyinosinic:polycytidylic acid  
 Rag1 - recombination activating gene-1  
 RIP - rat insulin promoter  
 s.c. - subcutaneous  
 scFv - single-chain variable fragment  
 Siglec-H - sialic acid binding immunoglobulin-like lectin H  
 SJL - Swiss/Jackson Laboratory (mouse strain)  
 T1D - type 1 diabetes  
 TAP - transporter associated with Ag processing  
 TCR - T cell receptor  
 TGF- $\beta$  - transforming growth factor beta  
 Th - T helper  
 TLR - toll-like receptor  
 Treg - T regulatory

probably best exemplified by the breakdown of T cell tolerance and spontaneous development of fatal autoimmunity in mice with diphtheria toxin A expression selectively in CD11c<sup>+</sup> DCs, resulting in the constitutive ablation of conventional DCs, plasmacytoid DCs, and LCs [13]. In contrast, mice with constitutive ablation of only conventional DCs (but not plasmacytoid DCs and Langerhans cells) remained healthy, and lacked obvious signs of autoimmunity [14], suggesting that peripheral DC subsets exert specialized functions in maintaining peripheral tolerance. To protect against fatal autoimmunity, steady-state DCs employ various mechanisms, including the production of soluble factors with known immunoregulatory function (such as interleukin 10 (IL-10) or TGF- $\beta$ ) [15-18], and the induction of both recessive and dominant tolerance in peripheral T cells (see below).

With regard to DC-based immunotherapeutic strategies to induce Ag-specific T cell tolerance *in vivo*, DCs loaded with Ags under tolerogenic culture conditions have shown efficacy in various preclinical settings of unwanted immunity [19-22], including autoimmune diabetes [23-25]. While these approaches require reinfusion of *in vitro* manipulated DCs [26], Ag presentation by tolerogenic DCs in their physiological milieu can be achieved through *in vivo* Ag delivery to lectin surface receptors (such as DEC-205) that serve as Ag uptake and processing receptors for DCs.



**Figure 1. Mechanisms of Ag-specific induction of peripheral T cell tolerance through DEC-205<sup>+</sup> DCs.** Agonist ligands (antigenic peptide or whole protein), fused to the C-terminus of the IgH chain of recombinant antibodies, directed against the endocytic receptor DEC-205 (CD205), are selectively delivered to DEC-205<sup>+</sup> DCs. Upon binding of anti-DEC-205 fusion antibodies to DEC-205, which is highly expressed on DCs residing in T cell areas of peripheral lymphoid tissues, mAb:receptor complexes are internalized and targeted to late endosomes/MHC-II compartments. Processed proteins can enter either the 'exogenous' MHC class I pathway, leading to Ag cross-presentation to CD8<sup>+</sup> T cells, or the classical MHC class II pathway, resulting in Ag presentation to CD4<sup>+</sup> T cells. Upon high-dose Ag stimulation by immature DEC-205<sup>+</sup> DCs (i.e. administration of  $\geq 1$   $\mu$ g anti-DEC-205 mAb fused to antigenic peptide), Ag-specific CD4<sup>+</sup> and CD8<sup>+</sup> T cells are physically eliminated (cell death) or acquire an immunologically unresponsive state (anergy). Low-dose Ag stimulation by immature DCs ( $\leq 0.1$   $\mu$ g fusion mAb) favors the conversion of initially naive CD4<sup>+</sup>CD25<sup>+</sup>Foxp3<sup>+</sup> T cells into stable Foxp3<sup>+</sup> Treg cells, rather than the induction of apoptosis or anergy. DEC-205<sup>+</sup> DC targeting in conjunction with the administration of DC maturation stimuli (e.g. agonistic anti-CD40 mAbs, poly(I:C), CpG, lipopolysaccharide (LPS), or toll-like receptor 7/8 (TLR7/8) agonists) represents an effective means for inducing strong T cell responses by CD4<sup>+</sup> T helper (Th) and CD8<sup>+</sup> cytotoxic T cells (CTL) (highlighted in pink).

### 3. Targeted Ag delivery to DEC-205<sup>+</sup> DCs *in vivo*

DEC-205 (CD205) is a type I transmembrane surface protein that belongs to the macrophage mannose receptor family of C-type lectin endocytic receptors, which also includes the macrophage mannose receptor and the phospholipase A2 recep-

tor [27]. Structurally, this family is characterized by an extracellular domain, comprised of a cysteine-rich N-terminal domain, a fibronectin type II domain and multiple C-type lectin-like domains, which is followed by a single transmembrane domain and a short cytoplasmic tail. While the natural ligand of DEC-205 remains to be determined, studies employing the rat monoclonal antibody (mAb) NLDC-145 [28] as surrogate ligand to murine DEC-205 revealed that the cytoplasmic DEC-205 tail mediates ligand uptake by receptor-mediated endocytosis and transportation of endocytosed anti-DEC-205 mAb:DEC-205 complexes to late endosomes/MHC class II (MHC-II) compartments [27], resulting in MHC-II Ag presentation to CD4<sup>+</sup> T cells (**Figure 1**). Moreover, anti-DEC-205 mAb:DEC-205 receptor complexes can enter the 'exogenous' MHC class I (MHC-I) pathway in a transporter associated with Ag processing (TAP)-dependent manner, leading to MHC-I Ag cross-presentation to CD8<sup>+</sup> T cells [29-32] (**Figure 1**).

Upon high-dose administration *in vivo*, fluorochrome-conjugated anti-DEC-205 mAbs are rapidly captured and efficiently taken up by the majority of lymphoid-resident conventional CD8<sup>+</sup> (but not CD8) DCs, as well as migratory CD103<sup>+</sup> DCs and LCs [33]. However, no appreciable anti-DEC-205 mAb binding was observed to CD19CD11c<sup>+</sup> splenocytes or CD19<sup>+</sup> B cells that exhibit low surface expression of DEC-205 [34]. For the selective delivery to DEC-205<sup>+</sup> DCs, the Ag of interest (either whole protein or Ag peptide) can be conjugated to the natural NLDC-145 mAb by chemical crosslinking. Alternatively, the Ag can be fused to the C-terminus of the IgH chain of recombinant anti-DEC-205 mAbs (**Figure 1**), whose original rat IgG2a constant regions were replaced with mouse IgG1 constant regions, carrying point mutations that interfere with Fc receptor binding [35]. This mode of Ag delivery has been shown to be several orders of magnitude more efficient than free peptide administration in mediating MHC-I ( $\geq 1000$ -fold) and MHC-II ( $\geq 100$ -fold) presentation [29, 36]. Importantly, depending on the DC maturation stage, TCR stimulation through Ag recognition on tissue-residing DEC-205<sup>+</sup> DCs can promote either the differentiation of Ag-specific T effector cells or immunological T cell tolerance.

#### 4. DEC-205<sup>+</sup> DC targeting to enhance Ag-specific T cell immunity

In the steady state (i.e. in the absence of infectious agents or deliberate DC maturation stimuli),

DCs exhibit a largely immature phenotype. Binding of the anti-DEC-205 mAb alone is insufficient to promote maturation and activation of DEC-205<sup>+</sup> DCs, as exemplified by unaltered low expression levels of T cell costimulatory molecules such as CD40, CD80, and CD86 [34, 37]. However, anti-DEC-205-targeted Ag delivery, in conjunction with co-administration of adjuvant (DC maturation stimuli such as agonistic anti-CD40 mAbs, poly(I:C), cytosine-phosphate-guanine (CpG), lipopolysaccharide (LPS), or toll-like receptor 7/8 (TLR7/8) agonists), results in enhanced Ag presentation capacity and increased expression of T cell costimulatory molecules by maturing DEC-205<sup>+</sup> DCs [38-40]. This approach represents an effective means to elicit robust Ag-specific immunity characterized by high frequencies of IL-2/IFN- $\gamma$ -producing CD4<sup>+</sup> T helper and CD8<sup>+</sup> cytotoxic T cells, production of high-affinity IgG antibodies, and long-lived T cell memory [36, 41, 42]. Combined DEC-205<sup>+</sup> DC targeting and deliberate DC maturation has been demonstrated to elicit productive immune responses against pathogens, including viruses [36, 43-45], bacteria [39, 46, 47], and parasites [38, 48], but also against tumor Ags [40, 49-51].

In humans, the expression pattern of DEC-205 (hDEC-205) on tissue-residing DCs is poorly defined, but hDEC-205 expression can be detected on a small proportion of immature DCs from peripheral blood, and is further upregulated upon DC maturation *in vitro* [52]. With regard to the major DC populations in peripheral blood, hDEC-205 is expressed at high levels on mature CD11c<sup>+</sup>CD123<sup>+</sup>BDCA-3<sup>+</sup> myeloid DCs and at low levels on CD11c<sup>+</sup>CD123<sup>+</sup>BDCA-2<sup>+</sup>BDCA-4<sup>+</sup> plasmacytoid DCs [53, 54]. This observations appear consistent with co-expression of hDEC-205 and CD11c on DCs residing in the T cell areas of human spleen and lymph nodes [55]. Compared to the mouse homolog, hDEC-205 protein exhibits ~80% identity, suggesting functional properties that are conserved between species. In fact, humanized recombinant anti-hDEC-205 mAbs fused to HIV-derived Gag peptides efficiently target DCs and elicit strong CD4<sup>+</sup> and CD8<sup>+</sup> T cell responses *in vivo*, both in mice with CD11c promoter-driven transgenic expression of hDEC-205 [56] and in rhesus macaques [57]. Finally, it appears worthwhile mentioning that a fully human anti-hDEC-205 mAb linked to NY-ESO-1 is currently in phase 1-2 development (Celldex Therapeutics, USA) for the treatment of a variety of cancers that express the tumor Ag NY-ESO-1.

## 5. DEC-205<sup>+</sup> DC targeting to promote Ag-specific T cell tolerance

### 5.1 Recessive tolerance

Hawiger *et al.* first demonstrated that Ag presentation by steady-state DCs can result in the induction of immunological unresponsiveness of Ag-specific CD4<sup>+</sup> T cells, rather than productive T helper (Th) cell responses [34] (**Figure 1**). These experiments involved the adoptive transfer of TCR transgenic CD4<sup>+</sup> 3A9 T cells, which are reactive to the model Ag hen egg lysozyme (HEL), and targeted delivery of the respective agonist peptide to DEC-205<sup>+</sup> DCs in immunocompetent hosts. Upon local administration of recombinant anti-DEC-205/HEL fusion mAbs (200 ng, s.c. into the footpad), Ag presentation by immature DEC-205<sup>+</sup> DCs initially induced Ag-specific T cell activation, as revealed by cell division and production of IL-2 (but not IFN- $\gamma$ ). However, the 3A9 T cells were then rapidly deleted. Residual 3A9 T cells that did not undergo deletion were found to be refractory to antigenic restimulation *in vivo* [58], which could be attributed to mechanisms that required increased expression of CD5 [58]. In these studies, the expression of Foxp3 in DEC-205<sup>+</sup> DC-primed CD4<sup>+</sup> T cells was not analyzed. Importantly, the observation of DEC-205<sup>+</sup> DC-targeted induction of Ag-specific CD4<sup>+</sup> T cell deletion and anergy could subsequently be extended to Ag-specific CD8<sup>+</sup> T cells (**Figure 1**), employing TCR transgenic CD8<sup>+</sup> OT-I T cells reactive to ovalbumin and DEC-205<sup>+</sup> DC targeting of whole ovalbumin protein [29]. It is also worthwhile mentioning that DEC-205<sup>+</sup> DC-targeted induction of recessive CD4<sup>+</sup> and CD8<sup>+</sup> T cell tolerance appears effective over a relatively broad range of anti-DEC-205 mAb doses (0.2-15  $\mu$ g), but is consistently abrogated by co-administration of DC maturation stimuli such as agonistic anti-CD40 mAbs [29, 34, 42].

### 5.2 Dominant tolerance

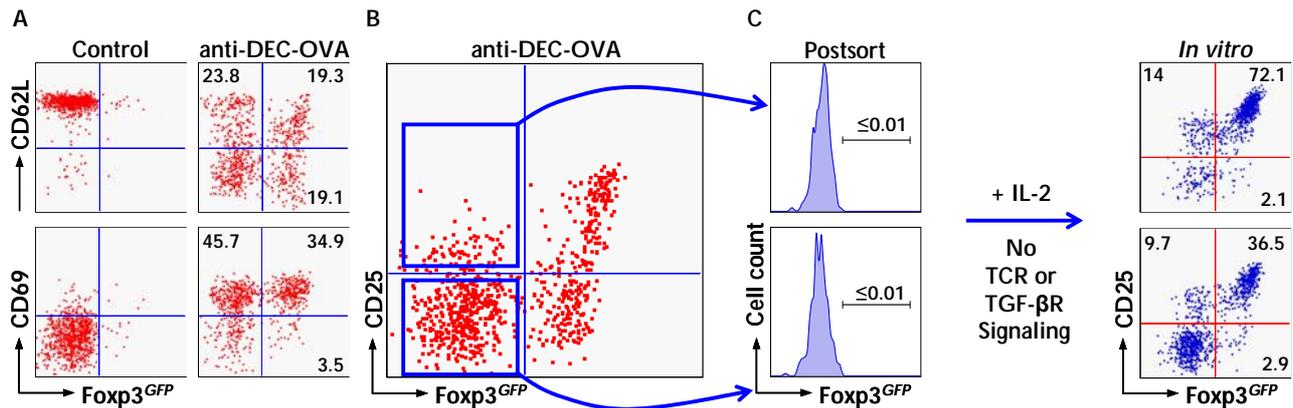
In addition to the induction of recessive tolerance, the ability of steady-state DEC-205<sup>+</sup> DCs to promote dominant tolerance by promoting the extrathymic *de novo* generation of Foxp3<sup>+</sup> Treg cells (**Figure 1**) has now been conclusively demonstrated in several independent studies. Before Foxp3 fluorochrome reporter mice became commonly available, initial studies employed Foxp3 mAbs for the analysis of Foxp3 expression in single cells and influenza hemagglutinin (HA)-reactive TCR transgenic (TCR-HA) CD4<sup>+</sup> T cells

that have a naïve Foxp3<sup>+</sup>CD25<sup>-</sup> phenotype, and that had been isolated from Rag<sup>-/-</sup> mice and injected into immunocompetent recipients [37, 59]. In this adoptive transfer model, careful titration experiments demonstrated that a single dose injection of minute amounts of recombinant anti-DEC-205/HA fusion mAb (~50 ng, corresponding to ~5 ng Ag) is necessary and sufficient to extrathymically convert up to 30% of initially CD4<sup>+</sup>Foxp3<sup>+</sup>CD25<sup>-</sup> T cells into functional CD25<sup>+</sup>Foxp3<sup>+</sup> Treg cells, and revealed an inverse relationship of cell division and Foxp3 upregulation. Thus, conditions that limited proliferation of converting T cells (i.e. low Ag dose, immature DC maturation stage, reduced IL-2R, and enhanced TGF- $\beta$  receptor (TGF- $\beta$ R) signaling) increased the efficiency of Foxp3 upregulation [37], probably through opposing cell cycle-dependent epigenetic silencing of the gene locus encoding Foxp3 [60].

More recently, the concept of extrathymic Foxp3<sup>+</sup> Treg cell induction by low-dose DEC-205<sup>+</sup> DC targeting has been further corroborated by studies employing naïve CD4<sup>+</sup>Foxp3<sup>GFP</sup> T cells from peripheral lymphoid tissues of Foxp3 fluorochrome reporter mice on a variety of different genetic backgrounds. This includes TCR transgenic T cells reactive to non-self-Ags (HA [61], ovalbumin [17, 61], and HY [62]), and to self-Ags (chromogranin A/BDC2.5 mimotope [63] and unpublished observation, insulin B chain [64], and myelin oligodendrocyte glycoprotein [33]). However, with regard to Ag-specific proliferative expansion of preformed Foxp3<sup>+</sup> Treg cell populations in the steady state, administration of anti-DEC-205 mAbs appears rather ineffective in inducing cell division in Foxp3<sup>+</sup> Treg cells, once they have been generated by DEC-205<sup>+</sup> DC targeting [17, 62].

## 6. Extrathymic Foxp3<sup>+</sup> Treg cell induction in nonmanipulated mice

Recently, we addressed the question of whether DEC-205<sup>+</sup> DCs promote peripheral Foxp3<sup>+</sup> Treg cell induction not only from TCR transgenic T cells upon targeted Ag delivery, but also from non-TCR transgenic T cells in nonmanipulated mice. Initially, we analyzed early events during extrathymic Treg cell induction from TCR transgenic CD4<sup>+</sup>Foxp3<sup>-</sup> T cells by low-dose DEC-205<sup>+</sup> DC targeting because of the lack of definitive markers to discriminate Foxp3<sup>+</sup> Treg cells that have been induced either intra- or extra-thymically [61]. We identified a panel of surface molecules on DC-primed CD4<sup>+</sup>Foxp3<sup>-</sup> T cells whose differential regulation marks distinct stages during their differen-



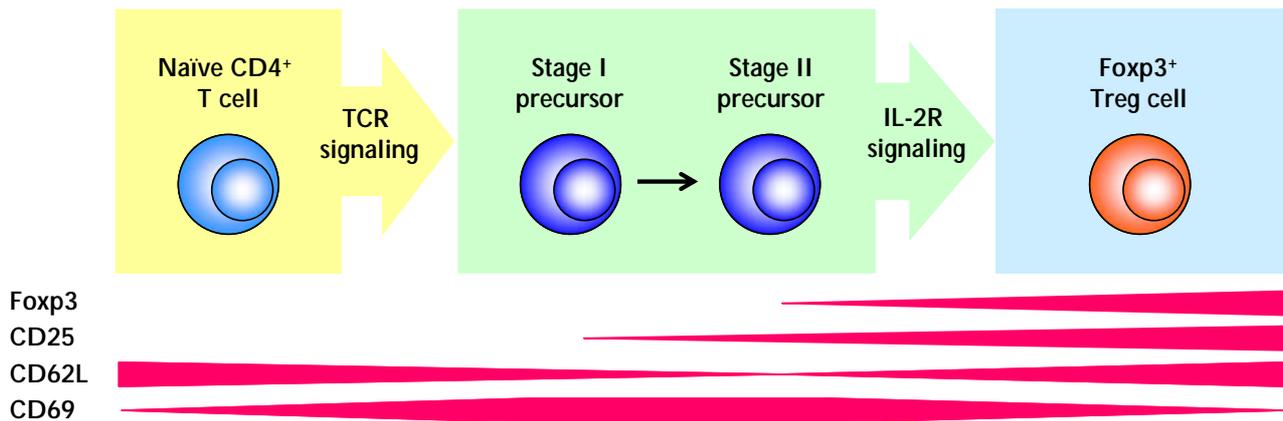
**Figure 2.** Tracking extrathymic differentiation of Ag-specific CD4<sup>+</sup> T cells during DEC-205<sup>+</sup> DC-mediated Foxp3 induction. Fluorescence-activated cell sorting (FACS)-purified populations of naive CD4<sup>+</sup> T cells (CD62L<sup>high</sup>CD25<sup>+</sup>Foxp3<sup>GFP</sup>) with transgenic expression of an ovalbumin (OVA)-reactive T cell receptor (TCR) (DO11.10) were adoptively transferred into congenic, immunocompetent mice. The next day, recipients were either left untreated (control) or injected with 250 ng recombinant anti-DEC-205 antibodies fused to whole OVA protein. At day 5, congenic marker<sup>+</sup> DO11.10 T cells were tracked in lymph nodes of recipient mice by flow cytometry. **A, B:** Differential expression of CD62L and CD69 (**A**) and CD25 (**B**) during anti-DEC-205/OVA-mediated induction of Foxp3<sup>GFP</sup> expression. **C:** Populations of CD25<sup>+</sup> and CD25<sup>-</sup> cells among Foxp3<sup>GFP</sup> DO11.10<sup>+</sup> T cells were purified by flow cytometry, as indicated by the lines with an arrowhead (left). At day 3 of IL-2-supplemented cultures, in the absence of deliberate TCR and transforming growth factor  $\beta$  receptor (TGF- $\beta$ R) stimulation, Foxp3<sup>GFP</sup> and CD25 expression was determined by flow cytometry (right). Adapted from Schallenberg et al., 2010 [61].

tiation into Foxp3<sup>+</sup> Treg cells (**Figure 2**). Shortly after TCR stimulation by cognate Ag presentation on DEC-205<sup>+</sup> DCs, Foxp3<sup>+</sup> T cells with a naïve phenotype (CD62L<sup>high</sup>CD69<sup>low</sup>CD25<sup>-</sup>) concomitantly downregulate CD62L and upregulate CD69 expression (**Figure 2A**). At this stage, converting CD62L<sup>int</sup>CD69<sup>+</sup> T cells are still CD25<sup>-</sup>Foxp3<sup>-</sup> (**Figure 2B**), but many are already poised to upregulate CD25 and Foxp3 expression (**Figure 2C**). Developmental progression of such CD25<sup>+</sup>Foxp3<sup>-</sup> Treg cell precursors *in vivo* is characterized by the acquisition of CD25 expression, with CD25<sup>+</sup>Foxp3<sup>-</sup> T cells representing the penultimate stage towards differentiation into Foxp3<sup>+</sup> Treg cells. In fact, the majority of CD25<sup>+</sup>Foxp3<sup>-</sup> Treg cell precursors is precommitted to undergo IL-2-driven upregulation of Foxp3 expression, a process that does not require continued TCR or TGF- $\beta$ R stimulation (**Figure 2B, C**). The sequence of events during DEC-205<sup>+</sup> DC-targeted Foxp3<sup>+</sup> Treg cell induction is schematically depicted in **Figure 3**.

In subsequent studies, we compared the differential surface marker expression during DEC-205<sup>+</sup> DC-targeted Treg cell induction from TCR transgenic T cells with polyclonal CD4<sup>+</sup> T cell populations in peripheral lymphoid tissues of non-

manipulated Foxp3<sup>GFP</sup> mice. This resulted in the identification of sizable populations of CD25<sup>+</sup>Foxp3<sup>-</sup> precursors precommitted to Foxp3<sup>+</sup> Treg cells, providing direct evidence for the generation of extrathymic Treg cells from non-TCR transgenic CD4<sup>+</sup> T cells under steady-state conditions. Differentiation of such peripheral CD4<sup>+</sup>CD25<sup>+</sup>Foxp3<sup>-</sup> precursors into Foxp3<sup>+</sup> Treg cells was dependent on phosphatidylinositol 3-kinase and IL-2R signaling, but proceeded in the absence of other common  $\gamma$  chain cytokines or TGF- $\beta$ R signaling.

Overall, these observations on peripheral Foxp3<sup>+</sup> Treg precursor cells *in vivo* [61], together with the notion that *ex vivo* CD8<sup>+</sup>DEC-205<sup>+</sup> DCs induce Foxp3 expression *in vitro* in initially CD4<sup>+</sup>Foxp3<sup>-</sup> T cells without added TGF- $\beta$  [17], make a strong case that extrathymic Foxp3<sup>+</sup> Treg cell development in nonmanipulated mice is mediated by lymphoid tissue-residing CD8<sup>+</sup>DEC-205<sup>+</sup> DCs. The identification of Foxp3<sup>+</sup> Treg precursor cells provides an opportunity to study the molecular mechanisms of extrathymic Foxp3<sup>+</sup> Treg cell generation under physiological conditions (i.e. immunocompetent mice, non-TCR transgenic T cells, non-deliberate T cell stimulation, and physiologi-



**Figure 3. Extrathymic differentiation of CD4<sup>+</sup>Foxp3<sup>+</sup> T cells into Foxp3<sup>+</sup> Treg cells.** Upon TCR stimulation through recognition of cognate Ag presented by peripheral lymphoid tissue-residing, immature DEC-205<sup>+</sup> DCs, naïve CD4<sup>+</sup>Foxp3<sup>+</sup> T cells (CD62L<sup>high</sup>CD69<sup>+</sup>CD25<sup>-</sup>) undergo a series of differentiation steps that are characterized by differential surface expression of activation markers. Transient downregulation of CD62L and upregulation of CD69 is accompanied by the gradual acquisition of constitutive CD25 expression. Interleukin 2 receptor (IL-2R) signaling has a non-redundant function in promoting the developmental progression of precommitted CD25<sup>+</sup>Foxp3<sup>+</sup> Treg cell precursors with a CD62L<sup>int</sup>CD69<sup>+</sup> surface marker phenotype into functional Foxp3<sup>+</sup> Treg cells.

cal cytokine milieu). Such studies may help to develop strategies that further enhance the efficacy of current approaches to promote Ag-specific T cell tolerance in autoimmunity (i.e. combination therapy, see below).

## 7. Stability and function of DEC-205<sup>+</sup> DC-targeted Foxp3<sup>+</sup> Treg cells

Current issues in Treg cell biology that are particularly relevant for the development of novel cell-based therapies, designed to deal with unwanted immunity, include the phenotypic and functional stability of Foxp3<sup>+</sup> Treg cells. In fact, opinions differ on whether naturally induced Treg cells exhibit a largely stable Foxp3<sup>+</sup> phenotype [65], or could be reprogrammed to differentiate into non-regulatory Foxp3<sup>+</sup> T effector cells *in vivo* [66-70]. Artificially generated Treg cells with a Foxp3<sup>+</sup> suppressor phenotype, which has been elicited in initially naïve Foxp3<sup>+</sup> T cells through TCR and TGF- $\beta$ R stimulation *in vitro*, rapidly downregulate induced Foxp3 expression and lose their suppressor function, both upon restimulation *in vitro* [71, 72] and reinfusion into immunocompetent mice [73]. Importantly, Foxp3<sup>+</sup> Treg cell induction by low-dose DEC-205<sup>+</sup> DC targeting *in vivo* leads to efficient DNA demethylation of conserved CpG motifs within non-coding regions of the *Foxp3*

gene, a predictive molecular marker for the long-term stability of induced Foxp3 expression [72]. Consequently, the overwhelming majority of Foxp3<sup>+</sup> Treg cells, generated in this manner, maintains high levels of Foxp3 expression upon proliferative expansion *in vitro* [72]. *In vivo*, DEC-205 DC-targeted Treg cells survive for several months in the absence of the inducing Ag [63, 72], and maintain a stable Foxp3<sup>+</sup> suppressor phenotype under inflammatory and autoimmune conditions [37, 63].

The capacity of *ex vivo* DEC-205<sup>+</sup> DC-targeted Foxp3<sup>+</sup> Treg cells to suppress the activation of conventional CD4<sup>+</sup> T cells was directly demonstrated by the abrogation of T responder cell proliferation in standard co-culture assays [37]. Under inflammatory *in vivo* conditions, DEC-205<sup>+</sup> DC-targeted Foxp3<sup>+</sup> Treg cells markedly reduced the accumulation of Ag-specific T effector cells in draining lymph nodes, with residual T effector cells exhibiting abrogated expression of activation markers and production of effector cytokines [37]. While their exact mode of action remains unclear, recent global gene expression analysis revealed that DEC-205<sup>+</sup> DC-targeted Foxp3<sup>+</sup> Treg cells exhibited a unique mRNA Treg cell signature, as compared to a variety of Foxp3<sup>+</sup> Treg cell subsets, either purified from different anatomical locations or artificially generated by different means [74]. These dif-

ferences included overrepresentation of mRNAs of the canonical Treg cell signature encoding functionally relevant molecules, such as chemokine receptors guiding the homing to different anatomical compartments, and effector molecules involved in Foxp3<sup>+</sup> Treg cell-mediated suppressor function, such as CTLA-4 and IL10 [74].

## 8. Tolerogenic DEC-205<sup>+</sup> DC vaccination in autoimmunity

### 8.1 Autoimmune diabetes

Early studies concerned with the tolerogenic potential of DEC-205<sup>+</sup> DC targeting in T1D employed a double-transgenic model of spontaneous autoimmune diabetes [75], consisting of mice that co-express the HA protein as a neo-self Ag in pancreatic  $\beta$ -cells under control of the rat insulin promoter (RIP-HA) and TCR-HA-expressing CD4<sup>+</sup> T cells [76]. In the TCR-HA  $\times$  RIP-HA model, repeated injection of newborn mice with the anti-DEC-205 mAb NLDC-145, chemically conjugated to synthetic HA peptide, protected the majority of the treated mice from disease development, whereas ~40% of untreated mice progressed towards autoimmune diabetes [75]. Apart from obvious limitations of the TCR-HA  $\times$  RIP-HA model [77], including unphysiological frequencies of pathogenic CD4<sup>+</sup> T cells and restriction of  $\beta$ -cell pathogenicity to a single neo-self-Ag, this study provided the first evidence that the selective delivery of  $\beta$ -cell Ag to steady-state DEC-205<sup>+</sup> DCs may represent a feasible approach to Ag-specifically interfere with the development of autoimmune diabetes. However, in this study, the relative contribution of recessive (anergy/deletion of pathogenic TCR-HA<sup>+</sup> T cells) and dominant (*de novo* generation/proliferative expansion of preformed TCR-HA<sup>+</sup>Foxp3<sup>+</sup>Treg cells) tolerance mechanisms to the autoimmune protection of  $\beta$ -cells remains unclear.

Under more physiological conditions of limited frequencies of  $\beta$ -cell-reactive T cells, low numbers of highly diabetogenic CD4<sup>+</sup>BDC2.5<sup>+</sup> T cells with a naïve CD62L<sup>high</sup>CD25<sup>+</sup>Foxp3<sup>+</sup> phenotype, which had been adoptively transferred into immunocompetent NOD mice, underwent efficient conversion into long-lived Foxp3<sup>+</sup>BDC2.5<sup>+</sup> Treg cells upon targeted delivery of agonistic mimotope peptide to DEC-205<sup>+</sup> DCs in recipient mice [63]. Despite induction of a Foxp3<sup>+</sup> Treg cell phenotype in up to 35% of initially Foxp3<sup>+</sup>BDC2.5<sup>+</sup> T cells, DEC-205<sup>+</sup> DC targeting of the BDC2.5 mimotope did not appreciably interfere with the development of auto-

immune diabetes in NOD recipients. Notably, systemic administration of free synthetic BDC2.5 mimotope peptide appeared to accelerate diabetes progression, as compared to equivalent amounts of mimotope peptide fused to recombinant anti-DEC-205 or isotype control Abs which had been administered following the same treatment regimen.

Consistent with the concept that proinsulin is a major self-Ag and primary target during the early stages of T1D development [78-80], low-dose-delivery of whole proinsulin to DEC-205<sup>+</sup> DCs in prediabetic NOD mice interfered with the progression towards overt diabetes; 50% of mice that received 4 consecutive injections of 1  $\mu$ g anti-DEC-proinsulin mAbs at 2 months of age maintained normoglycemia until the end of the observation period at 6 month of age [63]. In contrast, 90% of NOD mice injected with equivalent amounts of isotype control Ab, or left untreated, had progressed towards overt autoimmune diabetes. Evidence for an involvement of Ag-specific Foxp3<sup>+</sup> Treg cells in reduced disease incidence includes the observation that co-transfer of spleen cells from anti-DEC-205/proinsulin-treated NOD mice delayed diabetes development in a NOD.Rag1<sup>-/-</sup> adoptive transfer model [63]. Mechanistically, the higher complexity of whole proinsulin regarding the number of involved MHC-I and MHC-II T cell epitopes, compared with the MHC-II-restricted mimotope peptide, most likely contributes to the increased efficacy of DEC-205<sup>+</sup> DC-targeted proinsulin in ameliorating autoimmune diabetes progression. Indeed,  $\beta$ -cell Ag presentation by steady-state DEC-205<sup>+</sup> DCs in peripheral lymphoid tissues of NOD mice can result in deletional tolerance of diabetogenic CD8<sup>+</sup> T cells (**Figure 1**), as formally demonstrated in studies employing adoptive transfer of TCR transgenic,  $\beta$ -cell-reactive CD8<sup>+</sup> T cells and DEC-205<sup>+</sup> DC targeting of the respective mimotope peptide [81, 82]. Approaches to further increase the efficacy of tolerogenic DEC-205<sup>+</sup> DC vaccination may include the design of natural  $\beta$ -cell Ag-derived mimotope peptides that, compared to their physiological counterparts, exhibit improved agonistic activity, and thereby enhanced capacity to promote Ag-specific conversion of diabetogenic CD4<sup>+</sup>Foxp3<sup>+</sup> T cells into protective Foxp3<sup>+</sup> Treg cells [64, 83].

### 8.2 Autoimmune encephalomyelitis

Additional evidence that DEC-205<sup>+</sup> DC targeting may represent a suitable approach to prevent destructive autoimmunity was provided by studies in mouse models of experimental autoimmune en-

**Table 1.** Targeted Ag delivery to surface molecules on dendritic cells

Target	Expression	Strategy and route of administration	Effect	Reference
<b>DCIR2</b> (dendritic cell inhibitory receptor 2)	CD8 <sup>+</sup> DCs	Recombinant mAb fused to OVA; i.p.	- <b>anti-CD40 mAb</b> : CD4 <sup>+</sup> T cell activation but no Foxp3 induction + <b>anti-CD40 mAb</b> : efficient priming, proliferation, differentiation of CD4 <sup>+</sup> T cells but not CD8 <sup>+</sup> T cells (i.e. no cross-priming)	[17, 33] [86]
<b>Siglec-H</b> (sialic acid binding Ig-like lectin H)	pDCs	Recombinant mAb fused to OVA, HEL or MOG; i.p.	- <b>CpG</b> : limited CD4 <sup>+</sup> T cell responsiveness (Th polarization and cytokine production), no Foxp3 induction but amelioration of EAE + <b>CpG</b> : efficient CD4 <sup>+</sup> T cell differentiation	[87]
<b>Langerin</b>	LCs, migratory dermal DCs	Recombinant mAbs fused to MOG peptide; s.c., i.p., i.v., i.m., i.n.	<b>No deliberate DC maturation</b> : induction of Foxp3 expression in CD4 <sup>+</sup> T cells and amelioration of clinical symptoms in EAE	[33]
		Recombinant mAbs fused to gag-p24 (HIV); i.p.	+ <b>anti-CD40 and/or poly(I:C)</b> : efficient CD4 <sup>+</sup> and CD8 <sup>+</sup> T cell priming, proliferation, differentiation	[44]
<b>Clec9A</b> (c-type lectin domain family 9, member A)	CD8 <sup>+</sup> cDCs, pDCs	Recombinant mAb cross-linked to OVA; i.v.	+ <b>anti-CD40 and/or poly(I:C)</b> : efficient CD4 <sup>+</sup> and CD8 <sup>+</sup> T cell priming, proliferation, differentiation	[89]
<b>BST2/CD317</b> (bone marrow stromal cell 2)	pDCs	Recombinant mAb fused to OVA; i.p.	+ <b>CpG or poly(I:C)</b> : efficient CD4 <sup>+</sup> and CD8 <sup>+</sup> T cell priming, proliferation, differentiation; protective virus and tumor immunity	[88]
<b>CD11c</b>	DCs	Fab cross-linked to OVA; i.v.	+ <b>anti-CD40</b> : efficient CD4 <sup>+</sup> and CD8 <sup>+</sup> T cell priming, proliferation, differentiation - <b>anti-CD40</b> : CD4 <sup>+</sup> and CD8 <sup>+</sup> T cell deletion	[90]
		scFv fused to gag peptide; i.v. ( <i>in vitro</i> targeted DCs)	<b>No deliberate DC maturation</b> : efficient activation of CD8 <sup>+</sup> T cells (proliferation, activation markers) <i>in vitro</i> ; beneficial effect on tumor size and virus infection	[95]
<b>CD36</b> (class B scavenger receptor)	Primarily on CD8 <sup>+</sup> cDCs	scFv fused to OVA; s.c.	<b>No deliberate DC maturation</b> : efficient CD4 <sup>+</sup> and CD8 <sup>+</sup> T cell priming, proliferation, differentiation; no CD8 <sup>+</sup> T cell deletion; protective tumor immunity	[92]
<b>Dectin-1</b> (DC-associated C-type lectin-1)	Splenic CD11b <sup>high</sup> DCs, dermal DCs	mAb cross-linked to OVA; s.c., i.v.	+ <b>poly(I:C)</b> : efficient CD4 <sup>+</sup> and CD8 <sup>+</sup> T cell priming, proliferation, differentiation	[91]
<b>CD40 MHC class II TLR2 FcγRII/III</b>	DCs, macrophages	Fab fragments chemically linked to OVA; i.v.	+/- <b>anti-CD40</b> : ineffective CD4 <sup>+</sup> and CD8 <sup>+</sup> T cell priming; minimal or undetectable T cell activation	[90]
<b>DC-SIGN</b> (DC-specific ICAM-3-grabbing non-integrin)	Human DCs (closest relation in mice: CIRE)	Carbohydrates cross-linked to OVA; <i>in vitro</i>	Murine CD11c promoter-driven expression of human DC-SIGN in mice: proliferation of CD4 <sup>+</sup> and CD8 <sup>+</sup> T cells	[96]
		Anti-human mAb cross-linked to KLH	Efficient priming, proliferation, differentiation of CD4 <sup>+</sup> and CD8 <sup>+</sup> T cells in patients after reinfusion of <i>in vitro</i> targeted DCs	[97]

**Legend:** CIRE – C-type lectin immune receptor, CpG – cytosine-phosphate-guanosine, cDC – conventional dendritic cell, EAE – experimental autoimmune encephalomyelitis, Fab – fragment antigen-binding, HEL – hen egg lysozyme, ICAM-3 – intercellular adhesion molecule 3, i.m. – intramuscular, i.n. – intranasal, i.p. – intraperitoneal, i.v. – intravenous, KLH – keyhole limpet hemocyanin, LC – Langerhans cell, mAb – monoclonal antibody, MHC – major histocompatibility complex, MOG – myelin oligodendrocyte glycoprotein, OVA – ovalbumin, pDC – plasmacytoid dendritic cell, poly(I:C) – polyinosinic:polycytidylic acid, s.c. – subcutaneous, scFv – single-chain variable fragment, Th – T helper, TLR – toll-like receptor.

cephalomyelitis (EAE), which recapitulate many aspects of human multiple sclerosis [84]. In C57BL/6 mice, high-dose DEC-205<sup>+</sup> DC targeting (15 µg) of a myelin oligodendrocyte glycoprotein (MOG)-derived encephalogenic peptide prevented the induction of EAE by the same peptide in complete Freund's adjuvant, through Ag-specific deletion and anergy induction in residual MOG-reactive T cells [58]. In a passive EAE model, adoptive transfer of pathogenic proteolipid protein (PLP)-specific CD4<sup>+</sup> T cells into SJL mice, and subsequent administration of recombinant anti-DEC-205/PLP<sub>139-151</sub> fusion antibodies, substantially delayed disease onset and significantly reduced the mean clinical score [85]. In an active EAE model, DEC-205<sup>+</sup> DC targeting of PLP<sub>139-151</sub> efficiently ameliorated clinical symptoms of PLP<sub>139-151</sub>-induced EAE in SJL mice, which involved both recessive (deletion of pathogenic CD4<sup>+</sup> Th17 cells) and dominant (enhancement of Foxp3<sup>+</sup> Treg cell activity) tolerance mechanisms [85]. The ability of Ag-targeted DEC-205<sup>+</sup> DCs to induce Foxp3<sup>+</sup> Treg cells from encephalogenic CD4<sup>+</sup> T cells and to interfere with disease has been further corroborated in recent studies employing the C57BL/6 model of MOG-induced EAE [33].

Overall, the above studies have proven the relevance of tolerogenic DEC-205<sup>+</sup> DC vaccination in two distinct models of organ-specific autoimmunity that fundamentally differ in their autoimmune mechanisms and immune effector cells involved in tissue destruction. It will be important to determine whether these findings can be extended to additional autoimmune diseases, such as rheumatoid arthritis or inflammatory bowel disease.

## 9. Ag-specific induction of T cell tolerance: a unique property of DEC-205<sup>+</sup> DCs?

Apart from the endocytic receptor DEC-205, a variety of additional surface markers with differential expression on DC subsets has been assessed for their ability to promote Ag-specific T cell priming upon targeted Ag delivery (**Table 1**). For this, mAbs (natural mAbs, Fab fragments, whole recombinant Abs, or single-chain Fv recombinant Ab fragments) have been fused or chemically cross-linked to the Ag of interest and administered via different routes (s.c., i.p., i.v., or i.n.). These studies are aimed mainly to enhance Ag-specific CD4<sup>+</sup> and CD8<sup>+</sup> T cell responses against cancer and microbial infections by co-administration of adjuvant to promote DC maturation [34, 36, 42, 44, 86-91].

Notably, and in striking contrast to anti-DEC-205 DC targeting [37], anti-CD36 mAb-mediated DC targeting *in vivo* elicits profound Ag-specific CD4<sup>+</sup> and CD8<sup>+</sup> T cell responses, regardless of whether DCs had been deliberately activated by co-administration of anti-CD40 mAbs [92]. Interestingly, some surface molecules (CD40, MHC-II, TLR2, and FcγRII/III) failed to mediate appreciable MHC presentation of targeted Ag and activation of Ag-specific T cells *in vivo* [90].

With regard to promoting Ag-specific T cell tolerance under subimmunogenic conditions (i.e. steady-state DCs, low-dose Ag), anti-Langerin mAb-mediated targeting of Langerin<sup>+</sup> DCs *in vivo* was found to be similarly effective in inducing a Foxp3<sup>+</sup> Treg cell phenotype in initially naïve CD4<sup>+</sup>Foxp3<sup>+</sup> T cells and in ameliorating clinical symptoms in EAE [33], compared with DEC-205<sup>+</sup> DC targeting [85]. Mechanistically, this finding is particularly interesting as the population of Langerin<sup>+</sup> DCs, which comprises lymphoid-resident CD8<sup>+</sup>, migratory CD103<sup>+</sup> DCs, and epidermal Langerhans cells [33, 93], co-expresses high levels of DEC-205 [33], suggesting that the same DC subset mediates anti-DEC-205- and anti-Langerin-targeted induction of Foxp3<sup>+</sup> Treg cells. In contrast, Ag targeting to DCIR2 on CD8<sup>+</sup>DCIR2<sup>+</sup> conventional DCs [17] or Siglec-H on CD4<sup>+</sup>Siglec-H<sup>+</sup> plasmacytoid DCs [87], which exhibit low or negligible expression of DEC-205, failed to promote peripheral Foxp3<sup>+</sup> Treg cell induction. Interestingly, in the absence of deliberate DC maturation stimuli, DCIR2<sup>+</sup> DC targeting of cognate Ag was found to promote the proliferative expansion of preformed Ag-specific Foxp3<sup>+</sup> Treg cells, rather than their *de novo* generation from initially naïve CD4<sup>+</sup>Foxp3<sup>+</sup> T cells [17]. Finally, and in contrast to DCIR2<sup>+</sup> DC targeting [33], Siglec-H<sup>+</sup> DC targeting ameliorated clinical symptoms in the MOG-induced C57BL/6 model of EAE [87], possibly by interference with the Ag-specific proliferation of MOG-reactive CD4<sup>+</sup> T cells and their differentiation into pathogenic Th1 and Th17 cells [87]. In autoimmune diabetes, the tolerogenic potential of targeted delivery of β-cell Ag to DC surface receptors other than DEC-205 (e.g. Langerin, DCIR2, or Siglec-H) has not been investigated to date.

## 10. Conclusions

In T1D, robust data that demonstrate the clinical benefit of stimulating tolerogenic mechanisms by administration of free β-cell Ag are not yet available [94]. In this context, the ability to target minute amounts of β-cell antigen (either

peptide or protein) selectively to steady-state DEC-205<sup>+</sup> DCs has potential as a safe approach to deal with  $\beta$ -cell autoimmunity. The combination of DEC-205<sup>+</sup> DC targeting with other immunomodulatory strategies that do not interfere with Foxp3<sup>+</sup> Treg cell generation may help to ameliorate possible adverse effects of increased DC and T cell activation on the efficiency of Ag-specific Foxp3<sup>+</sup> Treg cell induction. Rational approaches to such combination therapies may include immunosuppressive drugs, costimulatory blockage, anti-CD3 treat-

ment, and modulation of cytokine receptor signaling.

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